

File

No. 381-47

HEADQUARTERS
DEPARTMENT OF THE ARMY
WASHINGTON, DC, 15 May 1982

US ARMY OFFENSIVE COUNTERINTELLIGENCE OPERATIONS (U)

(U) This is a complete revision of AR 381-47 with changes made throughout. Local supplementation of this regulation is prohibited, except upon approval of the Assistant Chief of Staff for Intelligence. Requests for exception, with justification, will be sent through channels to HQDA(DAMI-CIC), WASH DC 20310.

Interim changes to this regulation are not official unless they are authenticated by The Adjutant General. Users will destroy interim changes on their expiration dates unless sooner superseded or rescinded.

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* (U) This regulation supersedes AR 381-47, 7 April 1976. Copies of superseded regulation will be destroyed in accordance with AR 380-5 upon receipt of this regulation except for those copies maintained by HQDA for record purposes.

~~NATIONAL SECURITY INFORMATION~~
~~Unauthorized Disclosure Subject~~
~~To Criminal Sanctions~~

**WARNING NOTICE—
INTELLIGENCE SOURCES
AND METHODS INVOLVED**

Classified by ACSI DA
Reviewed 15 May 2002

NOT RELEASABLE TO
FOREIGN NATIONALS

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-SCANITIZED COPY-

1. (U) Purpose. This regulation establishes objectives, policies, procedures, controls, and allocation of functions and responsibilities concerning offensive counterintelligence operations (OFCO) Army-wide.

2. (U) Applicability. a. (U) The provisions set forth in this regulation apply exclusively to the following US Army organizations and activities:

(1) (U) Headquarters, Department of the Army (HQDA), Office of the Assistant Chief of Staff for Intelligence (OACSI).

(2) (U) US Army Intelligence and Security Command (USAINSCOM).

(3) (U) US Army, Europe (USAREUR).

(4) (U) 8th US Army (EUSA).

b. (U) This regulation does not apply to the Army National Guard and Army Reserve.

3. (U) Explanation of terms. Special terms used in this regulation are explained in appendix A.

4. (U) General. a. (U) The US military Services have unilateral or joint counterintelligence (CI) responsibilities. These responsibilities are established by or derived from statute, Executive Orders, Department of Defense (DOD) Directives, National Foreign Intelligence Board (NFIB) policies, and Joint Chiefs of Staff (JCS) guidance. Accordingly, HQDA has the requirement and responsibility for managing the Army CI Program.

b. (S)

(1) (S)

(2) (S)

c. (U) In addition to supporting DA, theater Army, and local needs, US Army OFCO activities serve important DOD, JCS, and national intelli-

gence community strategic requirements. Because, in selected cases, the national interest may transcend local theater command prerogatives, all significant changes to an approved OFCO, which may result in a redirection of the operation in terms of its originally intended objective must be coordinated in advance at the appropriate control level.

d. (S)

e. (S)

5. (U) Objectives. a. (U) Program objectives. The US Army OFCO program provides centralized policy and operational monitorship and direction of all Army foreign counterintelligence operations worldwide. The OFCO program has the following objectives:

(1) (U) Provide an information or operational data base to support local and/or national level action. This will secure the Army and other agencies of the US national defense structure against espionage and sabotage.

(2) (S)

(3) (U) Produce substantive CI information on hostile intelligence services and agencies. This

information will be used in national level CI estimates, studies, and analyses as a basis for national policy making and action decisions.

(4) (S) [REDACTED]

b. (U) *Operational objectives.* OFCO operations are conducted for the purpose and intent of satisfying one or more of the following objectives or requirements:

(1) (C) [REDACTED]

(2) (U) Identify hostile intelligence methods of operation.

(3) (S) [REDACTED]

(4) (U) Determine hostile intelligence areas of interest.

(5) (U) Determine hostile intelligence capabilities and limitations.

(6) (C) [REDACTED]

(7) (U) Develop hostile intelligence threat information.

(8) (U) Provide early warning of hostilities.

(9) (S) [REDACTED]

(10) (U) Support specific DA, DOD, and national level plans when required.

(11) (U) Develop, through the accomplishment of one or all of the above listed objectives or requirements, operational and threat data and counterespionage leads vital to future counterespionage operations and investigations; develop the potential of these leads to enhance the overall security of the Army worldwide.

c. (C) [REDACTED]

(1) (S) [REDACTED]

(2) (S) [REDACTED]

(3) (S) [REDACTED]

6. (U) Policy. a. (U) Only the US Army activities listed in paragraph 2a are authorized to conduct OFCO without prior approval of the Assistant Chief of Staff for Intelligence, Department of the Army (ACSI, HQDA); in such cases, the provisions outlined in this regulation are applicable. Requests for such authorization should be forwarded via secure limited distribution (LIMDIS) channels to CDR, ACSI, HQDA(DAMI-CIC), WASH DC 20310. An information copy should also be sent to the Deputy Commander, Intelligence, USAINSCOM, ATTN: Special Operations Detachment (SOD). (See app D.)

b. (C) [REDACTED]

c. (C) [REDACTED]

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d. (U) Operational activities conducted within the purview of this regulation will, when appropriate and as governed by operational security requirements, be closely coordinated and integrated with other security activities.

e. (U) The provisions of AR 381-10 are applicable to operations conducted under this regulation.

7. (U) Responsibilities. a. (U) The ACSI, HQDA is the Program Director of the Army's CI program worldwide and will—

(1) (U) Establish policies and promulgate DA regulatory guidance governing OFCO activities.

(2) (U) Monitor all Army OFCO activity worldwide to insure adherence to policy and national objectives.

(3) (U) Issue, as appropriate, specific Essential Elements of Information (EEI) and guidance regarding national requirements and priorities.

(4) (U) Prepare statistical summaries, analytical reports, and program reviews. These will reflect the status, progress, and effectiveness of OFCO activities Army-wide.

(5) (C)

(6) (U) Conduct staff visits and inspections, as appropriate, to comply with this regulation.

b. (U) The Commanding General (CG), USAINSCOM will—

(1) (C)

(2) (S)

(3) (C)

(4) (C)

(a) (C)

(b) (U) Provide file checks and other information in response to specific requests.

(c) (U) Provide operational support and other assistance as may be required.

(d) (C)

(5) (C)

(6) (S)

(7) (U) Conduct analysis of information acquired from OFCO activities; prepare and disseminate threat estimates; and maintain a data base from which improvement in the US Army CI and security posture can be formulated. Prepare and disseminate to appropriate commands,

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offices, and agencies substantive CI information developed from Army OFCO operations.

(8) (S) [REDACTED]

(a) (C) [REDACTED]

(b) (C) [REDACTED]

(c) (S) [REDACTED]

(d) (S) [REDACTED]

(e) (S) [REDACTED]

(9) (S) [REDACTED]

(10) (U) In accordance with existing directives and procedures, conduct national level coordination concerning operational CI matters as required.

(11) (C) [REDACTED]

(12) (C) [REDACTED]

(13) (C) [REDACTED]

(14) (U) Conduct liaison and coordination with other agencies worldwide, as necessary, concerning OFCO operational matters relating to USAINSCOM mission requirements.

(15) (U) Keep OACSI, HQDA, informed, on a timely basis, of all significant developments or incidents pertaining to USAINSCOM controlled or monitored OFCO activities.

c. (U) The CG, USAREUR and the CG, EUSA will—

(1) (C) [REDACTED]

(2) (C) [REDACTED]

(a) (C) [REDACTED]

(b) (S) [REDACTED]

(c) (S) [REDACTED]

(3) (U) Establish and maintain appropriate operational and source files.

(4) (U) Establish and maintain appropriate files pertaining to hostile intelligence services and agencies posing a threat to the major Army command (MACOM).

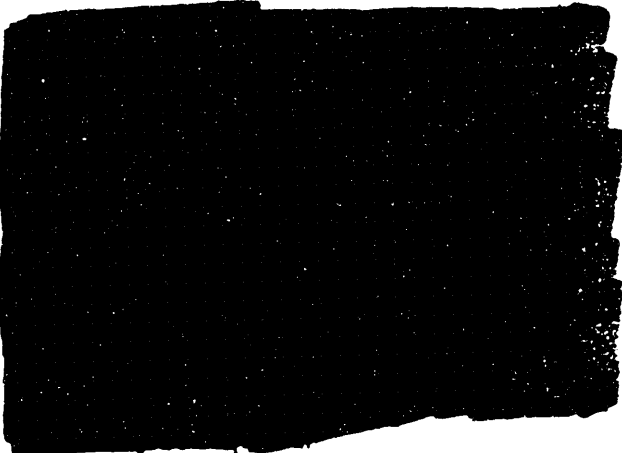
(5) (U) Provide USAINSCOM and OACSI, HQDA, with reports as listed in appendix D.

(6) (U) Provide necessary local support for conduct of special operations or investigations as may be requested by USAINSCOM.

(7) (U) Coordinate OFCO activity locally as required by national directives, theater directives, status of forces agreements, or other agreements with host or friendly governments.

8. (U) Development material. a. (S) [REDACTED]

b. (S) [REDACTED]


c. (S) (1) (C) (2) (C) (3) (C) (4) (C) (5) (S) (6) (S) (7) (C) d. (S) 

e. (U) Any information made public through news media may be cleared without regard to the policy restrictions delineated above. This includes US and foreign press, radio, and television. An exception is information known to be currently classified by DOD or DA.

f. (S) g. (C) h. (S) 

9. (U) Reporting channels and procedures. a. (U) USAINSCOM and OACSI, HQDA, message and mail addresses for OFCO operational traffic and correspondence are as shown in appendix D.

b. (C) 

[REDACTED]

c. (U) Operational data that is not applicable for intelligence community dissemination will be reported by appropriate operation reports of the types described in FM 30-17A.

d. (U) OFCO operational and administrative reports will be provided to USAINSCOM and OACSI, HQDA, as indicated in appendix D.

e. (U) All reports and correspondence will be transmitted in OFCO codeword channels. (See app B.)

10. (U) Hostile intelligence service funds and material. a. (S)

[REDACTED]

(1) (S)

(a) (S)

(b) (S)

(c) (S)

(2) (S)

(3) (S)

(4) (S)

(5) (S)

(6) (S)

b. (S)

c. (S)

(1) (U) Identification of major project account. (A separate ledger should be maintained for each major project account.)

(2) (U) Date and amounts (by type of currency; i.e., West German marks, US dollars, etc.) of all funds received.

(3) (U) Date and amounts of all funds expended, transferred, or turned in.

(4) (S)

(5) (U) Balance on hand.

(6) (C)

d. (S)

e. (S)

(1) (S)

(2) (S)

(3) (S)

f. (S)

g. (S)

h. (S)

i. (S)

11. (U) Unorthodox activities. a. (C) Successful accomplishment of the OFCO mission may require engagement in unorthodox operations and activities. These unorthodox activities may be at variance with recognized standards or methods normally associated with the military Service. They will be undertaken only when authorized by the commander of a counterintelligence unit or higher authority. They should not include actions or behavior prejudicial to good military discipline or order. The Uniform Code of Military Justice applies. (See FM 30-17A.)

b. (C)

c. (C)

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Pages 8 & 9 totally denied - In Accordance with 5 USC 552 (b) (1)

APPENDIX A

EXPLANATION OF TERMS (U)

- (U) **Agent.** One who is authorized or instructed to obtain information for intelligence or counterintelligence purposes.
- (U) **Counterespionage.** A category of counterintelligence, the objectives of which are the detection and neutralization of foreign espionage. Counterespionage consists of defensive and offensive measures, constituting investigations or operations.
- (U) **Countersabotage.** Any action designed to destroy the effectiveness of sabotage activities through the process of identifying, penetrating and manipulating, neutralizing, or repressing individuals, groups, or organizations conducting or capable of conducting such activities.
- (C) [REDACTED]
- (C) [REDACTED]
- (S) [REDACTED]
- (S) [REDACTED]
- (S) [REDACTED]
- (U) **Double agent.** An individual serving as an informant for two opposing intelligence services, only one of which is aware of the double contact.
- (C) [REDACTED]
- (S) [REDACTED]
- (C) [REDACTED]
- (U) **Investigation.** The systematic collection of information from all available sources concerning a suspected person, group, or activity which confirms, denies, or augments the original information.
- (S) [REDACTED]
- (S) [REDACTED]
- (U) **Offensive counterintelligence operational reports.** Reports describing details of planning; control; conduct; coordination; assessment; manipulation; methodology; source contacts; instructions; and training, finance,

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and logistics data involved in OFCO operations.

(U) Operation. A series of planned and interrelated actions taken to accomplish the unit mission.

(S)

(C)

(U) Program. A broadbased series of operational, investigative, and administrative activities designed to achieve a specified goal.

(S)

(C)

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APPENDIX B

PROCEDURES GOVERNING THE USE OF THE OFCO CODEWORD (U)

B-1. (U) General. a. (U) This appendix (in conjunction with AR 380-5, app C) sets forth policy and procedures governing use of the US Army Offensive Counterintelligence Operations Codeword to transmit OFCO reports.

b. (S) 

B-2. (U) Use of codeword. a. (U) General. The use of the assigned OFCO codeword will assure that OFCO operational reports remain in codeword channels and reach the appropriate addressee on a limited distribution basis. This will restrict access to those who have a specific need-to-know. Communications bearing the codeword will not be forwarded outside the established codeword channel.

b. (U) Control Officer.

(1) (U) To reinforce security in the handling, storage, and transmission of codeword material, an individual cleared for access to TOP SECRET information will be appointed as OFCO Codeword Control Officer. This will be done in each counterintelligence unit and in each counterintelligence staff element which originates or receives OFCO codeword material or correspondence. The OFCO Codeword Control Officer will be the initial recipient of all OFCO operational material received by the unit or element. The Control Officer will be responsible for the internal dissemination and control of such material.

(2) (U) The OFCO Codeword Control Officer will monitor the preparation, marking, receipting, safeguarding, dispatch, and storage of OFCO operational material prepared in, received, or dispatched by his or her counterintelligence unit or staff element. A current standing operating

procedure (SOP) for handling the material will be maintained. This SOP will include a list of personnel within the organization who are authorized to receive such material.

c. (U) Codeword classification. The codeword for OFCO operations will be classified CONFIDENTIAL.

B-3. (U) Communication procedures. a. (U) Written correspondence.

(1) (U) OFCO operational correspondence will be prepared in the minimum number of copies. Maintenance of personal convenience files is prohibited.

(2) (U) The active codeword will be plainly marked at the top and bottom of the first page only of all cover letter and transmitting documents forwarding OFCO operational information.

(3) (U) In instances when a letter of transmittal is used to forward inclosures previously prepared and annotated with a retired or replaced codeword, it is not necessary to obliterate the old codeword in effect at the time of transmittal. In the case of a letter of transmittal actually in transit when a codeword is changed, the codeword on the letter will be recognized by the recipient as an OFCO designator.

(4) (U) The double envelope method will be used to transmit OFCO operational material. The outer envelope is addressed using the normal military address of the counterintelligence unit or staff element. If appropriate, the address should include an attention line, citing the office symbol of the counterintelligence staff element. In addition to normal administrative and security markings, the inner envelope will be clearly marked as follows:

(a) (U) OFCO CODEWORD MATERIAL.

(b) (U) Security classification.

(c) (U) TO BE OPENED ONLY BY OFCO CODEWORD CONTROL OFFICER.

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(5) (U) The codeword will not be placed on the envelope or receipt accompanying the material.

b. (U) *Electrically transmitted messages.*

(1) (U) OFCO operational messages will be handled as no foreign dissemination (NO FOREIGN DISSEM) and LIMDIS messages.

(2) (U) The message security classification line will be prepared as follows:

(a) (U) (Classification).

(b) (U) (Codeword).

(c) (C) [REDACTED]

(d) (U) DELIVER TO OFCO CONTROL OFFICER ONLY.

(3) (U) The OFCO Codeword Control Officer will insure that the number of copies of codeword messages disseminated and maintained is kept to the absolute minimum.

c. (U) *Release of OFCO operational material.* When release of OFCO operational material outside US Army counterintelligence channels is authorized, the codeword will be removed and the document marked in accordance with AR 381-1.

B-4. (U) Compromise or possible compromise of OFCO operational material. a. (C) [REDACTED]

b. (C) [REDACTED]

c. (C) [REDACTED]

CAUTION: (C) [REDACTED]

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APPENDIX C

GUIDELINES FOR CLASSIFICATION (U)

C-1. (U) Table C-1 is a guide for classifying OFCO materials. The guidelines in the table are not all-inclusive. Primary intelligence officers of MACOMs will continually review information concerned with intelligence operations. They will also initiate classification actions as required.

C-2. (U) If a higher classification than that reflected in table C-1 is deemed necessary, such higher classification must be certified by an official with requisite classification authority.

C-3. (U) Questions concerning the classification and declassification of information pertaining to OFCO operations will be addressed to SOD, USAINSCOM. (See app D.)

Table C-1. Guidelines for Classifying OFCO Material (U)

Information	Reason for extension	Reference (Keyed to AR 20-5)
(S) [REDACTED]	SECRET	para 2-301.c(3)
(C) [REDACTED]	SECRET	para 2-301.c(3,8)
(C) [REDACTED]	SECRET	para 2-301.c(3,8)
(U) Source control number or nickname.	UNCLASSIFIED	
(U) Source control number or nickname when associated with other ID data.	SECRET	para 2-301.c(3,8)
(U) Target of operation (depending on specific sensitivity).	CONFIDENTIAL, SECRET, TOP SECRET	para 2-301.c(6)
(U) Any document, the substantive content of which could be traced to an individual source. If the content could be traced to a particular target, the classification may be higher, considering item 6 above.	SECRET	para 2-301.c(3,8)
(S) [REDACTED]	SECRET	para 2-301.c(3,8)
(S) [REDACTED]	SECRET	para 2-301.c(3,8)
(S) [REDACTED]	SECRET	para 2-301.c(3,8)

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Table C-1. Guidelines for Classifying OFCO Material (U)

Information	Reason for extension	Reference (Keyed to AR 380-5)
(C) SECRET	SECRET or higher if warranted by sensitivity of total information revealed.	para 2-301.c3.5.5)
(U) Information received from foreign governments, agencies, or international organizations.	As specified	para 2-301.c1)
(U) Classification authority and declassification date for documents containing information classified pursuant to these instructions will be— CLASSIFIED BY ACSI, HQDA (AR 381-47) REVIEW ON 120 YRS FROM DATE OF DOCUMENT) EXTENDED BY REASON		

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APPENDIX D

ADDRESSEES AND ROUTING INSTRUCTIONS FOR OFCO OPERATIONAL REPORTS (U)

D-1. (U) Table D-1 provides routing instructions of OFCO operational and related administrative reports.

Table D-1. Instructions for OFCO Reports (U)

Distribution scheme	OACSI, HQDA (DAMI-CIC)	USAINSCOM, SOD	USAINSCOM, SOD, LNO
(U) Concepts of proposed operations and investigations.		X	
(U) Operational plans and subsequent changes thereto as they occur		X	
(U) Basic Source Data Reports to all active sources participating in OFCO operations, whether involved in unilateral, joint, bilateral, or coordinated operations.		X	
(U) Source Lead Development Reports		X	
(U) Source Data Changes.		X	
(U) [REDACTED]		X	X
(U) Personal Characteristics Questionnaire, as appropriate.		X	
(U) Contact Reports.		X	
(U) Agent Reports (DA Form 341), containing information of OFCO investigative interest when appropriate		X	
(U) Reports for formal coordination with non-Army agencies.		X	
(U) Reports of significant developments (usually by electrical message).	X	X	X
(U) Letter reports, memoranda of understanding, and other operational reports.		X	
(U) Notification of Termination of Source (usually by final Contact Report).		X	
(U) Security Review Reports.	X	X	
(U) Summaries of operations (prepared by losing command)	X	X	
(U) Compromise of OFCO codeword or material.	X	X	

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D-2. (U) The following mailing addresses should be used for all OFCO correspondence mailed to USAINSCOM and OACSI, HQDA:

- a. (U) USAINSCOM: Commander
US Army Intelligence and Security Command
ATTN: IASO-OP
Fort Meade, MD 20755
- b. (U) OACSI, HQDA: HQDA (DAMI-CIC)
WASH DC 20310

D-3. (U) The following message addresses should be used for all OFCO messages transmitted electrically:

- a. (U) SSO messages.

(1) (U) Address for USAINSCOM messages is as follows:

FROM: SSO (Station Designator)/(Office Designator)//
TO: SSO MEADE//IASO-OP//
INFO: SSO (Station Designator(s))/(Office Designator(s))//
(Classification) (Codeword) (C) NOFORN/LIMDIS DELIVER TO
OFCO CONTROL OFFICER ONLY
QQQQ (Appropriate only if SSO Station is using message scanning equipment)
FROM: (Person sending message)/(Office Designator)//
TO: COL _____, CDR, SP OPS DET,
INSCOM MEADE
INFO: (Addressee(s))/(Office Designator(s))//
SUBJECT: NICKNAME (U)

(2) (U) Address for USAINSCOM/SOD Liaison Officer and OACSI, HQ DA, messages is as follows:

FROM: SSO (Station Designator)/(Office Designator)//
TO: SSO DA (Station Designator)/(Office Designator)//
INFO: SSO MEADE//IASO-OP//
(Classification) (Codeword) (C) _____
QQQQ (Appropriate only if SSO Station is using message scanning equipment)
FROM: (Person sending message)/(Office Designator)//
TO: COL _____, Director, CI, OACSI, DA
(Pass to Mr. _____ SOD LNO)
INFO: (Addressee(s))/(Office Designator(s))//
SUBJECT: NICKNAME (U)

b. (U) General Service Focal Point messages (used between Joint commands and HQDA).

- (1) (C) _____

SUBJECT: NICKNAME (U)

(2) (U) The following message addresses will be used:

- (a) (U) USAINSCOM: TO CDRSPECOPNS DET USAINSCOM FT
MEADE MD//IASO-CO//

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(b) (U) USAINSCOM/SOD Liaison Office: and OACSI, DA: TO
HQDA WASH DC//DAMI-CIC//

(Note: All Focal Point messages to this address are given to SOD Liaison Officer.)

c. (U) General Service messages. General Service (GENSER) means should be used only in limited instances when other more appropriate means of communication are not feasible. In such cases, the following addresses will be used:

- (1) (U) USAINSCOM: CDRSPECOPNS DET USAINSCOM FT MEADE
MD//IASO-OP//
- (2) (U) OACSI, DA: HQDA WASH DC//DAMI-CIC//

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Appendix E, Pages E-1, E-2, & E-3 totally denied - In accordance with
5 USC 552 (b) (1)